

## **Erie County Fiscal Stability Authority**

Resolution No. 07-96

### **APPROVING AN EFFICIENCY GRANT FOR RISK MANAGEMENT GAP ANALYSIS**

WHEREAS, Chapter 182 of the New York Laws of 2005 created the Erie County Fiscal Stability Authority (“ECFSA”), to serve as a corporate governmental agency and instrumentality of the State of New York, and as a public benefit corporation to “oversee [Erie] County’s budget, financial and capital plans; to issue bonds, notes or other obligations to achieve budgetary savings and to finance short-term cash flow or capital needs; and, if necessary, to develop financial plans on behalf of the County if the County is unwilling or unable to take the required steps toward fiscal stability;” and

WHEREAS, Section 3957(a) of New York State Public Authorities Law provides that, subject to appropriations during State fiscal years 2006-07 through 2010-11, the ECFSA shall provide grants to the County of Erie (the “County”) to support activities that achieve savings through innovations and reengineering; and

WHEREAS, the Commissioner of the Department of Personnel submitted an efficiency grant application to the ECFSA on July 16, 2007, as amended by a “Scope of Work” document submitted on September 25, 2007, seeking funding to support an audit of all current risk management policies and procedures as well as training not included in the New York State Department of Labor Occupational Safety and Health Training and Education Grant; and

WHEREAS, pursuant to this application, the Department of Personnel issued a Request for Proposals (“RFP”) for a certified consultant to conduct a review of existing risk management policies, procedures and practices, to identify deficiencies in the program, to make recommendations to correct deficiencies and to provide related risk management training;

WHEREAS, the County has selected Great Lakes Environmental & Safety Consultants, Inc., from the respondents to its Request for Proposals (“RFP”); and

WHEREAS, the ECFSA Finance Committee met on August 14, August 30 and October 10 to discuss the County’s efficiency grant application for a safety and risk management-related audit and training; and

WHEREAS, on August 30 the ECFSA Finance Committee requested that the County amend it’s application to put more of a focus on training and further explore the thought of where the individual in charge of risk management policies and procedures sits in the organization and his/her power to implement change; and

WHEREAS, on October 10 the ECFSA Finance Committee voted unanimously to recommend to the ECFSA Board that \$25,000 in efficiency grant funding be made available to the County to be used solely for a gap analysis as delineated by the "Scope of Work" document received on September 25, 2007, provided that the study include an examination of safety, reengineering in the workers compensation program and a job description for the individual who will be in charge of risk management with detailed responsibilities and where they will sit in the organizational structure of the County; and

WHEREAS, the ECFSA anticipates that the reengineering of the County's safety policies and procedures and workers compensation program will lead to the County performing tasks it is required to perform in a more efficient and effective manner resulting in cost savings;

NOW, THEREFORE, BE IT RESOLVED based on the recommendation of the ECFSA Finance Committee, the ECFSA hereby grants up to \$25,000 to the County in efficiency grant funding to be used for the sole purpose of a gap analysis as delineated by the "Scope of Work" document received on September 25, 2007, provided that the study include an examination of safety, reengineering in the workers compensation program and a job description for the individual who will be in charge of risk management with detailed responsibilities and where they will sit in the organizational structure of the County; and

BE IT FURTHER RESOLVED that the gap analysis be submitted to the ECFSA upon completion; and

BE IT FURTHER RESOLVED that the ECFSA Executive Director send, via first-class mail, copies of this resolution to the County Executive; the County Comptroller; the Chair, the Majority Leader, the Minority Leader, and the Clerk of the County Legislature; and the Governor, the Comptroller, the Senate Majority Leader, and the Speaker of the Assembly of the State of New York.

This resolution shall take effect immediately.

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Stanley J. Keysa  
Secretary

Date: October 22, 2007